# BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

Case No. 3343

JUDITH L. ROSENZWEIG

4060 Fourth Avenue, Suite 110 San Diego, CA 92103

Pharmacist License No. RPH 47399

Respondent.

### **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Pharmacy, Department of Consumer Affairs, as its Decision in this matter.

This decision shall become effective on January 28, 2010.

It is so ORDERED on December 29, 2009.

BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

Benneth H. Scheel

By

KENNETH H. SCHELL

**Board President** 

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1.	EDMUND G. BROWN JR. Attorney General of California				
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8	Attorneys for Complainant				
9	BEFORE THE BOARD OF PHARMACY				
10	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA				
11					
12	In the Matter of the Accusation Against: Case No. 3343				
13	JUDITH LENA ROSENZWEIG 4060 Fourth Avenue, Suite 110  STIPULATED SETTLEMENT AND DISCIPLINARY ORDER				
14	San Diego, CA 92103				
15	Pharmacist License No. RPH 47399				
16	Respondent.				
17					
18	In the interest of a prompt and speedy settlement of this matter, consistent with the public				
19	interest and the responsibility of the Board of Pharmacy of the Department of Consumer Affairs,				
20	the parties hereby agree to the following Stipulated Settlement and Disciplinary Order which will				
21	be submitted to the Board for approval and adoption as the final disposition of the Accusation.				
22	<u>PARTIES</u>				
23	1. Virginia Herold (Complainant) is the Executive Officer of the Board of Pharmacy.				
24	She brought this action solely in her official capacity and is represented in this matter by Edmund				
25	G. Brown Jr., Attorney General of the State of California, by Loretta A. West, Deputy Attorney				
26	General.				
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- 2. Respondent Judith Lena Rosenzweig is represented in this proceeding by attorney Jonathan A. Klein, whose address is Kelly, Hockel & Klein, 44 Montgomery Street, Suite 2500, San Francisco, CA 94104.
- 3. On or about August 5, 1994, the Board of Pharmacy issued Pharmacist License No. RPH 47399 to Judith Lena Rosenzweig (Respondent). The Pharmacist License was in full force and effect at all times relevant to the charges brought in Accusation No. 3343 and will expire on March 1, 2010, unless renewed.

### **JURISDICTION**

4. On August 17, 2009, Accusation No. 3343 was filed before the Board of Pharmacy (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on August 24, 2009. Respondent timely filed her Notice of Defense contesting the Accusation. A copy of Accusation No. 3343 is attached as exhibit A and incorporated herein by reference.

### ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 3343. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

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 **CULPABILITY** 

- 8. Respondent admits the truth of each and every charge and allegation in Accusation No. 3343.
- 9. Respondent agrees that her Pharmacist License is subject to discipline and she agrees to be bound by the Board of Pharmacy's imposition of discipline as set forth in the Disciplinary Order below.

### RESERVATION

10. The admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Board of Pharmacy or other professional licensing agency is involved, and shall not be admissible in any other criminal or civil proceeding.

### **CONTINGENCY**

- 11. This stipulation shall be subject to approval by the Board of Pharmacy. Respondent understands and agrees that counsel for Complainant and the staff of the Board of Pharmacy may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or her counsel. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 13. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary

Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.

14. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

### DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Pharmacist License No. RPH 47399 issued to Respondent Judith Lena Rosenzweig is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following terms and conditions.

### 1. Obey All Laws

Respondent shall obey all state and federal laws and regulations. Respondent shall report any of the following occurrences to the Board, in writing, within seventy-two (72) hours of such occurrence:

- an arrest or issuance of a criminal complaint for violation of any provision of the
   Pharmacy Law, state and federal food and drug laws, or state and federal controlled
   substances laws
- a plea of guilty or nolo contendre in any state or federal criminal proceeding to any criminal complaint, information or indictment
- a conviction of any crime
- discipline, citation, or other administrative action filed by any state or federal agency
  which involves Respondent's pharmacist license or which is related to the practice of
  pharmacy or the manufacturing, obtaining, handling, distributing, billing, or charging
  for any drug, device or controlled substance.

Failure to timely report such occurrence shall be considered a violation of probation.

### 2. Report to the Board

Respondent shall report to the Board quarterly, on a schedule as directed by the Board or its designee. The report shall be made either in person or in writing, as directed. Among other requirements, Respondent shall state in each report under penalty of perjury whether there has

been compliance with all the terms and conditions of probation. Failure to submit timely reports in a form as directed shall be considered a violation of probation. Any period(s) of delinquency in submission of reports as directed may be added to the total period of probation. Moreover, if the final probation report is not made as directed, probation shall be automatically extended until such time as the final report is made and accepted by the Board.

### 3. Interview with the Board

Upon receipt of reasonable prior notice, Respondent shall appear in person for interviews with the Board or its designee, at such intervals and locations as are determined by the Board or its designee. Failure to appear for any scheduled interview without prior notification to Board staff, or failure to appear for two (2) or more scheduled interviews with the Board or its designee during the period of probation, shall be considered a violation of probation.

### 4. Cooperate with Board Staff

Respondent shall cooperate with the Board's inspection program and with the Board's monitoring and investigation of Respondent's compliance with the terms and conditions of her probation. Failure to cooperate shall be considered a violation of probation.

### 5. Continuing Education

Respondent shall provide evidence of efforts to maintain skill and knowledge as a pharmacist as directed by the Board or its designee.

### 6. Notice to Employers

During the period of probation, Respondent shall notify all present and prospective employers of the Board's Decision and Order in case number 3343 and the terms, conditions and restrictions imposed on Respondent by the decision, as follows:

Within thirty (30) days of the effective date of this decision, and within fifteen (15) days of Respondent undertaking any new employment, Respondent shall cause her direct supervisor, pharmacist-in-charge (including each new pharmacist-in-charge employed during Respondent's tenure of employment) and owner to report to the Board in writing acknowledging that the listed individual(s) has/have read the decision in case number 3343, and terms and conditions imposed

thereby. It shall be Respondent's responsibility to ensure that her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the Board.

If Respondent works for or is employed by or through a pharmacy employment service, Respondent must notify her direct supervisor, pharmacist-in-charge, and owner at every entity licensed by the Board of the terms and conditions of the decision in case number 3343 in advance of the Respondent commencing work at each licensed entity. A record of this notification must be provided to the Board upon request.

Furthermore, within thirty (30) days of the effective date of this decision, and within fifteen (15) days of Respondent undertaking any new employment by or through a pharmacy employment service, Respondent shall cause her direct supervisor with the pharmacy employment service to report to the Board in writing acknowledging that she has read the Board's Decision and Order in case number 3343 and the terms and conditions imposed thereby. It shall be Respondent's responsibility to ensure that her employer(s) and/or supervisor(s) submit timely acknowledgment(s) to the Board.

Failure to timely notify present or prospective employer(s) or to cause that/those employer(s) to submit timely acknowledgments to the Board shall be considered a violation of probation.

"Employment" within the meaning of this provision shall include any full-time, part-time, temporary, relief or pharmacy management service as a pharmacist or any position for which a pharmacist license is a requirement or criterion for employment, whether the Respondent is an employee, independent contractor or volunteer.

7. No Supervision of Interns, Serving as Pharmacist-in-Charge (PIC), Serving as Designated Representative-in-Charge, or Serving as a Consultant

During the period of probation, Respondent shall not supervise any intern pharmacist, be the pharmacist-in-charge or designated representative-in-charge of any entity licensed by the Board nor serve as a consultant unless otherwise specified in this order. Assumption of any such unauthorized supervision responsibilities shall be considered a violation of probation.

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#### 8. Reimbursement of Board Costs

As a condition precedent to successful completion of probation, Respondent shall pay to the Board its costs of investigation and prosecution in the amount of \$4,133.00 (four thousand one hundred thirty three dollars). Respondent may make payments as provided by Respondent's probation monitor.

There shall be no deviation from this schedule absent prior written approval by the Board or its designee. Failure to pay costs by the deadline(s) as directed shall be considered a violation of probation.

The filing of bankruptcy by Respondent shall not relieve Respondent of her responsibility to reimburse the Board its costs of investigation and prosecution.

### 9. Probation Monitoring Costs

Respondent shall pay any costs associated with probation monitoring as determined by the Board each and every year of probation. Such costs shall be payable to the Board on a schedule as directed by the Board or its designee. Failure to pay such costs by the deadline(s) as directed shall be considered a violation of probation.

#### 10. Status of License

Respondent shall, at all times while on probation, maintain an active, current license with the Board, including any period during which suspension or probation is tolled. Failure to maintain an active, current license shall be considered a violation of probation.

If Respondent's license expires or is cancelled by operation of law or otherwise at any time during the period of probation, including any extensions thereof due to tolling or otherwise, upon renewal or reapplication Respondent's license shall be subject to all terms and conditions of this probation not previously satisfied.

#### 11. License Surrender While on Probation/Suspension

Following the effective date of this decision, should Respondent cease practice due to retirement or health, or be otherwise unable to satisfy the terms and conditions of probation, Respondent may tender her license to the Board for surrender. The Board or its designee shall have the discretion whether to grant the request for surrender or take any other action it deems

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 appropriate and reasonable. Upon formal acceptance of the surrender of the license, Respondent will no longer be subject to the terms and conditions of probation. This surrender constitutes a record of discipline and shall become a part of the Respondent's license history with the Board.

Upon acceptance of the surrender, Respondent shall relinquish her pocket and wall license to the Board within ten (10) days of notification by the Board that the surrender is accepted. Respondent may not reapply for any license from the Board for three (3) years from the effective date of the surrender. Respondent shall meet all requirements applicable to the license sought as of the date the application for that license is submitted to the Board, including any outstanding costs.

### 12. Notification of a Change in Name, Residence Address, Mailing Address or Employment

Respondent shall notify the Board in writing within ten (10) days of any change of employment. Said notification shall include the reasons for leaving, the address of the new employer, the name of the supervisor and owner, and the work schedule if known. Respondent shall further notify the Board in writing within ten (10) days of a change in name, residence address, mailing address, or phone number.

Failure to timely notify the Board of any change in employer(s), name(s), address(es), or phone number(s) shall be considered a violation of probation.

### 13. Tolling of Probation

Except during periods of suspension, Respondent shall, at all times while on probation, be employed as a pharmacist in California for a minimum of forty (40) hours per calendar month. Any month during which this minimum is not met shall toll the period of probation, i.e., the period of probation shall be extended by one month for each month during which this minimum is not met. During any such period of tolling of probation, Respondent must nonetheless comply with all terms and conditions of probation.

Should Respondent, regardless of residency, for any reason (including vacation) cease practicing as a pharmacist for a minimum of forty (40) hours per calendar month in California, Respondent must notify the Board in writing within ten (10) days of the cessation of practice, and

must further notify the Board in writing within ten (10) days of the resumption of practice. Any failure to provide such notification(s) shall be considered a violation of probation.

It is a violation of probation for Respondent's probation to remain tolled pursuant to the provisions of this condition for a total period, counting consecutive and non-consecutive months, exceeding thirty-six (36) months.

"Cessation of practice" means any calendar month during which Respondent is not practicing as a pharmacist for at least forty (40) hours, as defined by Business and Professions Code section 4000 et seq. "Resumption of practice" means any calendar month during which Respondent is practicing as a pharmacist for at least forty (40) hours as a pharmacist as defined by Business and Professions Code section 4000 et seq.

#### 14. Violation of Probation

If a Respondent has not complied with any term or condition of probation, the Board shall have continuing jurisdiction over Respondent, and probation shall automatically be extended, until all terms and conditions have been satisfied or the Board has taken other action as deemed appropriate to treat the failure to comply as a violation of probation, to terminate probation, and to impose the penalty that was stayed.

If Respondent violates probation in any respect, the Board, after giving Respondent notice and an opportunity to be heard, the Board may revoke probation and carry out the disciplinary order (revocation) that was stayed. Notice and opportunity to be heard are not required for those provisions stating that a violation thereof may lead to automatic termination of the stay and/or revocation of the license. If a petition to revoke probation or an accusation is filed against Respondent during probation, the Board shall have continuing jurisdiction and the period of probation shall be automatically extended until the petition to revoke probation or accusation is heard and decided.

### 15. Completion of Probation

Upon written notice by the Board or its designee indicating successful completion of probation, Respondent's license will be fully restored.

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#### 16. Medical Evaluation

Within thirty (30) days of the effective date of this decision, and on a periodic basis thereafter as may be required by the Board or its designee, Respondent shall undergo a medical evaluation, at Respondent's own expense, by a Board-appointed or Board-approved physician who shall furnish a medical report to the Board. The approved physician shall be provided with a copy of the Board's Accusation and decision. A record of this notification must be provided to the Board upon request. Respondent shall sign a release authorizing the physician to furnish the Board with a current diagnosis and a written report regarding the Respondent's ability to function independently as a pharmacist with safety to the public. Respondent shall comply with all the recommendations of the physician if directed by the Board or its designee.

If the physician recommends, and the Board or its designee directs, that Respondent undergo medical treatment, Respondent shall, within thirty (30) days of written notice from the Board, submit to the Board or its designee, for prior approval, the name and qualifications of a licensed physician of Respondent's choice. Within thirty (30) days of approval thereof, Respondent shall submit documentation to the Board demonstrating the commencement of treatment with the approved physician. Should Respondent, for any reason, cease treatment with the approved physician, Respondent shall notify the Board immediately and, within thirty (30) days of ceasing treatment, submit the name of a replacement physician of Respondent's choice to the Board or its designee for prior approval. Within thirty (30) days of approval thereof, Respondent shall submit documentation to the Board demonstrating the commencement of treatment with the approved replacement. Failure to comply with any deadline stated by this paragraph shall be considered a violation of probation.

Upon approval of the initial or any subsequent physician, Respondent shall undergo and continue treatment with that physician, at Respondent's own expense, until the treating physician recommends in writing to the Board, and the Board or its designee agrees by way of a written notification to Respondent, that no further treatment is necessary. Upon receipt of such recommendation from the treating physician, and before determining whether to accept or reject said recommendation, the Board or its designee may require Respondent to undergo, at

Respondent's own expense, a medical evaluation by a separate Board-appointed or Board-approved physician. If the approved evaluating physician recommends that Respondent continue treatment, the Board or its designee may require Respondent to continue treatment.

Respondent shall take all necessary steps to ensure that any treating physician submits written quarterly reports to the Board concerning Respondent's fitness to practice, progress in treatment, and other such information as may be required by the Board or its designee.

If at any time an approved evaluating physician or Respondent's approved treating physician determines that Respondent is unable to practice safely or independently as a pharmacist, the evaluating or treating physician shall notify the Board immediately by telephone and follow up by written letter within three (3) working days. Upon notification from the Board or its designee of this determination, Respondent shall be automatically suspended and shall not resume practice until notified by the Board that practice may be resumed.

During suspension, Respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the Board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and controlled substances. Respondent shall not resume practice until notified by the Board.

During suspension, Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the Board.

Subject to the above restrictions, Respondent may continue to own or hold an interest in any licensed premises in which she holds an interest at the time this decision becomes effective unless otherwise specified in this order.

Failure to comply with this suspension shall be considered a violation of probation.

### 17. Pharmacists Recovery Program (PRP)

Within thirty (30) days of the effective date of this decision, Respondent shall contact the Pharmacists Recovery Program (PRP) for evaluation, and shall immediately thereafter enroll, successfully participate in, and complete the treatment contract and any subsequent addendums as recommended and provided by the PRP and as approved by the Board or its designee. The costs for PRP participation shall be borne by the Respondent.

If Respondent is currently enrolled in the PRP, said participation is now mandatory and as of the effective date of this decision is no longer considered a self-referral under Business and Professions Code section 4362(c) (2). Respondent shall successfully participate in and complete her current contract and any subsequent addendums with the PRP.

Failure to timely contact or enroll in the PRP, or successfully participate in and complete the treatment contract and/or any addendums, shall be considered a violation of probation.

Probation shall be automatically extended until Respondent successfully completes the PRP. Any person terminated from the PRP program shall be automatically suspended by the Board. Respondent may not resume the practice of pharmacy until notified by the Board in writing.

Any confirmed positive test for alcohol or for any drug not lawfully prescribed by a licensed practitioner as part of a documented medical treatment shall result in the automatic suspension of practice by Respondent and shall be considered a violation of probation.

Respondent may not resume the practice of pharmacy until notified by the Board in writing.

During suspension, Respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the Board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and controlled substances. Respondent shall not resume practice until notified by the Board.

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During suspension, Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the Board.

Subject to the above restrictions, Respondent may continue to own or hold an interest in any licensed premises in which she holds an interest at the time this decision becomes effective unless otherwise specified in this order.

Failure to comply with this suspension shall be considered a violation of probation.

Respondent shall pay administrative fees as invoiced by the PRP or its designee. Fees not timely paid to the PRP shall constitute a violation for probation. The Board will collect unpaid administrative fees as part of the annual probation monitoring costs if not submitted to the PRP.

### 18. Random Drug Screening

Respondent, at her own expense, shall participate in random testing, including but not limited to biological fluid testing (urine, blood), breathalyzer, hair follicle testing, or other drug screening program as directed by the Board or its designee. Respondent may be required to participate in testing for the entire probation period and the frequency of testing will be determined by the Board or its designee. At all times, Respondent shall fully cooperate with the Board or its designee, and shall, when directed, submit to such tests and samples for the detection of alcohol, narcotics, hypnotics, dangerous drugs or other controlled substances as the Board or its designee may direct. Failure to timely submit to testing as directed shall be considered a violation of probation. Upon request of the Board or its designee, Respondent shall provide documentation from a licensed practitioner that the prescription for a detected drug was legitimately issued and is a necessary part of the treatment of the Respondent. Failure to timely provide such documentation shall be considered a violation of probation. Any confirmed positive test for alcohol or for any drug not lawfully prescribed by a licensed practitioner as part of a documented medical treatment shall be considered a violation of probation and shall result in the automatic suspension of practice of pharmacy by Respondent. Respondent may not resume the practice of pharmacy until notified by the Board in writing.

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 During suspension, Respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the Board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and controlled substances. Respondent shall not resume practice until notified by the Board.

During suspension, Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the Board.

Subject to the above restrictions, Respondent may continue to own or hold an interest in any licensed premises in which she holds an interest at the time this decision becomes effective unless otherwise specified in this order.

Failure to comply with this suspension shall be considered a violation of probation.

### 19. Abstain from Drugs and Alcohol Use

Respondent shall completely abstain from the possession or use of alcohol, controlled substances, dangerous drugs and their associated paraphernalia except when the drugs are lawfully prescribed by a licensed practitioner as part of a documented medical treatment. Upon request of the Board or its designee, Respondent shall provide documentation from the licensed practitioner that the prescription for the drug was legitimately issued and is a necessary part of the treatment of the Respondent. Failure to timely provide such documentation shall be considered a violation of probation. Respondent shall ensure that she is not in the same physical location as individuals who are using illicit substances even if Respondent is not personally ingesting the drugs. Any possession or use of alcohol, controlled substances, or their associated paraphernalia not supported by the documentation timely provided, and/or any physical proximity to persons using illicit substances, shall be considered a violation of probation.

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### 20. Remedial Education

Within sixty (60) days of the effective date of this decision, Respondent shall submit to the Board or its designee, for prior approval, an appropriate program of remedial education related to the grounds for discipline. The program of remedial education shall consist of at least 20 (twenty) hours, which shall be completed within two (2) years at Respondent's own expense. All remedial education shall be in addition to, and shall not be credited toward, continuing education (CE) courses used for license renewal purposes.

Failure to timely submit or complete the approved remedial education shall be considered a violation of probation. The period of probation will be automatically extended until such remedial education is successfully completed and written proof, in a form acceptable to the Board, is provided to the Board or its designee.

Following the completion of each course, the Board or its designee may require the Respondent, at her own expense, to take an approved examination to test the Respondent's knowledge of the course. If the Respondent does not achieve a passing score on the examination, this failure shall be considered a violation of probation. Any such examination failure shall require Respondent to take another course approved by the Board in the same subject area.

### 21. Supervised Practice

During the period of probation, Respondent shall practice only under the supervision of a licensed pharmacist not on probation with the Board. Upon and after the effective date of this decision, Respondent shall not practice pharmacy and her license shall be automatically suspended until a supervisor is approved by the Board or its designee. The supervision shall be, as required by the Board or its designee, either:

Continuous – At least 75% of a work week

Substantial - At least 50% of a work week

Partial - At least 25% of a work week

Daily Review - Supervisor's review of probationer's daily activities within 24 hours

Within thirty (30) days of the effective date of this decision, Respondent shall have her supervisor submit notification to the Board in writing stating that the supervisor has read the

decision in case number 3343 and is familiar with the required level of supervision as determined by the Board or its designee. It shall be the Respondent's responsibility to ensure that her employer(s), pharmacist-in-charge and/or supervisor(s) submit timely acknowledgement(s) to the Board. Failure to cause the direct supervisor and the pharmacist-in-charge to submit timely acknowledgements to the Board shall be considered a violation of probation.

If Respondent changes employment, it shall be the Respondent's responsibility to ensure that her employer(s), pharmacist-in-charge and/or supervisor(s) submit timely acknowledgement(s) to the Board. Respondent shall have her new supervisor, within fifteen (15) days after employment commences, submit notification to the Board in writing stating the direct supervisor and pharmacist-in-charge have read the Board's Decision and Order in case number 3343 and is familiar with the level of supervision as determined by the Board. Respondent shall not practice pharmacy and her license shall be automatically suspended until the Board or its designee approves a new supervisor. Failure to cause the direct supervisor and the pharmacist-in-charge to submit timely acknowledgements to the Board shall be considered a violation of probation.

Within ten (10) days of leaving employment, Respondent shall notify the Board in writing.

During suspension, Respondent shall not enter any pharmacy area or any portion of the licensed premises of a wholesaler, veterinary food-animal drug retailer or any other distributor of drugs which is licensed by the Board, or any manufacturer, or where dangerous drugs and devices or controlled substances are maintained. Respondent shall not practice pharmacy nor do any act involving drug selection, selection of stock, manufacturing, compounding, dispensing or patient consultation; nor shall Respondent manage, administer, or be a consultant to any licensee of the Board, or have access to or control the ordering, manufacturing or dispensing of dangerous drugs and controlled substances. Respondent shall not resume practice until notified by the Board.

During suspension, Respondent shall not engage in any activity that requires the professional judgment of a pharmacist. Respondent shall not direct or control any aspect of the practice of pharmacy. Respondent shall not perform the duties of a pharmacy technician or a designated representative for any entity licensed by the Board.

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Subject to the above restrictions, Respondent may continue to own or hold an interest in any licensed premises in which she holds an interest at the time this decision becomes effective unless otherwise specified in this order.

Failure to comply with this suspension shall be considered a violation of probation.

### 22. No Ownership of Licensed Premises

Respondent shall not own, have any legal or beneficial interest in, or serve as a manager, administrator, member, officer, director, trustee, associate, or partner of any business, firm, partnership, or corporation currently or hereinafter licensed by the Board. Respondent shall sell or transfer any legal or beneficial interest in any entity licensed by the Board within ninety (90) days following the effective date of this decision and shall immediately thereafter provide written proof thereof to the Board. Failure to timely divest any legal or beneficial interest(s) or provide documentation thereof shall be considered a violation of probation.

### 23. Ethics Course

Within sixty (60) calendar days of the effective date of this decision, Respondent shall enroll in a course in ethics, at Respondent's expense, approved in advance by the Board or its designee. Failure to initiate the course during the first year of probation, and complete it within the second year of probation, is a violation of probation.

Respondent shall submit a certificate of completion to the Board or its designee within five days after completing the course.

### ACCEPTANCE

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney. Jonathan A. Klein. I understand the stipulation and the effect it will have on my Pharmacist License. I enter into this Stipulated Settlement and Disciplinary. Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Board of Pharmacy.

DATED: DIE 09

JUDEH LENA ROSENZWEIG Respondent

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STIPULATED SETTLEMENT (3343)

}					
1	I have read and fully discussed with Respondent Judith Lena Rosenzweig the terms and				
2	conditions and other matters contained in the proce Stipulated Settlement and Disciplinary Order.				
3	I approve its form and content.				
4	DATED: 101309				
5	Atomey for Respondent				
6					
7	ENDORSEMENT				
8	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully				
9	submitted for consideration by the Board of Pharmacy of the Department of Consumer Affairs.				
10	Dated: Respectfully Submitted,				
11	EDMUND G. BROWN JR.				
12	Attorney General of California LINDA K. SCHNEIDER				
13	Supervising Deputy Attorney General				
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15	LORETTA A. WEST Deputy Attorney General				
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	STIPULATED SETTLEMENT (3343)				

1	I have read and fully discussed with Respondent Judith Lena Rosenzweig the terms and				
2	conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order				
3	I approve its form and content.				
4	DATED:	•	· .	·	
5			N A. KLEIN or Respondent		
6			•	;	
7		ENDORSI	EMENT		
8	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully				
9	submitted for consideration by the Boa	ard of Pharm	acy of the Department o	f Consumer Affairs.	
10	00/1 9 2009				
11	Dated: October 9, 2009		Respectfully Submitted	•	
12			EDMUND G. BROWN JR Attorney General of C		
13			LINDA K, SCHNEIDER Supervising Deputy A	torney General	
14		•	Sould		
15			LORETTA A. WEST	Ū	
16			Deputy Attorney Gene Attorneys for Complain		
17		,			
18	rtf	٠.			
19					
20	•	•			
21				•	
22				•	
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28					
		1 2			

### Exhibit A

Accusation No. 3343 Against Judith Lena Rosenzweig

[		•				
1	EDMUND G. BROWN JR.					
2	Attorney General of California LINDA K. SCHNEIDER					
3	Supervising Deputy Attorney General   Loretta A. West					
4	Deputy Attorney General State Bar No. 149294					
	110 West "A" Street, Suite 1100					
5	San Diego, CA 92101 P.O. Box 85266					
6	San Diego, CA 92186-5266 Telephone: (619) 645-2107					
7	Facsimile: (619) 645-2061					
8	Attorneys for Complainant					
9	BEFORE THE BOARD OF PHARMACY DEPARTMENT OF CONSUMER AFFAIRS					
10						
	STATE OF CA	LIFORNIA				
. 11	In the Matter of the Accusation Against:	Case No. 3343				
12		2000 110. 3343				
13	JUDITH LENA ROSENZWEIG 4060 Fourth Avenue, Suite 110					
14	San Diego, CA 92103 Pharmacist License No. RPH 47399	ACCUSATION				
15	Respondent.					
16						
17	Complainant alleges:					
18	PART	<u>IES</u>				
19	1. Virginia Herold (Complainant) brings this Accusation solely in her official capacity					
20	as the Executive Officer of the Board of Pharmacy	, Department of Consumer Affairs.				
21	2. On or about August 5, 1994, the Board of Pharmacy issued Pharmacist License					
22	Number RPH 47399 to Judith Lena Rosenzweig (Respondent). The Pharmacist License was in					
23						
24	2010, unless renewed.					
25	JURISDI	CTION				
26						
27						
	Consumer Affairs, under the authority of the following laws. All section references are to the					
28	Business and Professions Code unless otherwise indicated.					

1	4. Code section 4300 provides that the Board may discipline the holder of a pharmacist						
2	license.						
3	5. Code section 118(b) provides that the expiration of a license shall not deprive the						
4	Board of jurisdiction to proceed with a disciplinary. Code section 4402 provides that a						
5	pharmacist license may be renewed at anytime within three years of its expiration.						
6	STATUTORY PROVISIONS						
7	6. Section 4301 of the Code states:						
8	The board shall take action against any holder of a license who is guilty of unprofessional conduct or whose license has been procured by fraud or misrepresentation or issued by mistake. Unprofessional conduct shall include, but						
0	is not limited to, any of the following:						
11	(f) The commission of any act involving moral turpitude, dishonesty, fraud, deceit, or corruption, whether the act is committed in the course of relations as a licensee or otherwise, and whether the act is a felony or misdemeanor or not.						
13 14	(g) Knowingly making or signing any certificate or other document that falsely represents the existence or nonexistence of a state of facts.						
15 16	(j) The violation of any of the statutes of this state, or any other state, or of the United States regulating controlled substances and dangerous drugs.						
17 18 19	(o) Violating or attempting to violate, directly or indirectly, or assisting in or abetting the violation of or conspiring to violate any provision or term of this chapter or of the applicable federal and state laws and regulations governing pharmacy, including regulations established by the board or by any other state or federal regulatory agency.						
20							
21	7. Code section 4059 provides that a person may not furnish any dangerous drug excep						
22	upon the prescription of a physician, dentist, podiatrist, optometrist, veterinarian, or naturopathic						
23	doctor pursuant to Section 3640.7.						
24	DANGEROUS DRUGS						
25							
26 27	8. Code section 4022  'Dangerous drug' or 'dangerous device' means any drug or device unsafe for self- use in humans or animals, and includes the following:						
28	Soil use in numans of animals, and metudes the following.						

(a) Any drug that bears the legend: 'Caution: federal law prohibits dispensing without prescription', 'Rx only,' or words of similar import.

(c) Any other drug or device that by federal or state law can be lawfully dispensed only on prescription or furnished pursuant to Section 4006.

9. Tramadol is a generic drug for the patented drug Ultram. Tramadol is a pain reliever, requires a valid prescription and, is a dangerous drug pursuant to Business and Professions Code section 4022.

### COST RECOVERY

10. Code section 125.3 provides that the Board may request the administrative law judge to direct a licentiate found to have committed a violation or violations of the licensing act to pay a sum not to exceed the reasonable costs of the investigation and enforcement of the case.

### <u>FACTS</u>

11. On or about March 28, 2008, and on other unknown occasions during the preceding year, while employed and working as a pharmacist for Longs Drugs, Respondent re-filled a prescription for Tramadol for a patient who was Respondent's coworker, even though the co-worker had not made any request for a re-fill. After Respondent processed the prescription, Respondent paid for it and kept it for herself, without the knowledge or consent of her co-worker, whose name was indicated on the prescription bottle as the patient. During the processing of the prescription, Respondent initialed the prescription label to verify that the prescription was properly filled for the named patient, pursuant to the request from the named patient. Respondent signed her own name on the pharmacy's dispensing signature log to indicate that she was authorized to, and did receive the prescription for the named patient on the patient's behalf, when in fact, Respondent purchased the prescription for her own personal use without

of the named patient. Respondent then self-administered the Tramadol. Respondent did not have a valid prescription for Tramodol for herself.

### FIRST CAUSE FOR DISCIPLINE (Unprofessional Conduct: Illegal Possession of Dangerous Drugs)

12. Respondent is subject to disciplinary action under section 4301, subdivision (j), in that she violated Code section 4059 by furnishing the dangerous drug Tramodol to herself without a valid prescription, as described above at paragraph 11.

### SECOND CAUSE FOR DISCIPLINE (Unprofessional Conduct: Obtain Prescription by False Representation)

13. Respondent is subject to disciplinary action under section 4301, subdivision (g), in that she knowingly made or signed a document that falsely represented that the patient had requested a refill of the prescription drug, Tramodol, and that Respondent was authorized to receive the patient's prescription, when she was not, as described above in paragraph 11.

## THIRD CAUSE FOR DISCIPLINE (Unprofessional Conduct: Dishonesty, Fraud or Deceit)

14. Respondent's pharmacist license is subject to disciplinary action under section 4301, subdivision (f), in that she committed acts involving moral turpitude, dishonesty, fraud or deceit as follows: while working as a pharmacist, Respondent processed a prescription for her own illegitimate personal use, even though the prescription refill was prescribed for someone other than Respondent, and the prescribed patient had not authorized or consented to the prescription refill. Respondent then obtained the prescription refill without the prescribed patient's authorization or consent. Respondent possessed and self-administered the dangerous drugs without a valid prescription, as described above in paragraph 11.

### PRAYER

WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Board of Pharmacy issue a decision:

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. 28

- 1. Revoking or suspending Pharmacist License Number RPH 47399, issued to Judith Lena Rosenzweig.
- 2. Ordering Judith Lena Rosenzweig to pay the Board of Pharmacy the reasonable costs of the investigation and enforcement of this case, pursuant to Business and Professions Code section 125.3;
  - 3. Taking such other and further action as deemed necessary and proper.

DATED: 8/17/09

VIRGINIA HEROLI Executive Officer

Board of Pharmacy

Department of Consumer Affairs

State of California Complainant